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USPTO - INFORMATION DISCLOSURE STATEMENT		703 872-9306

MESSAGE: PLEASE DELIVER DIRECTLY TO INFORMATION DISCLOSURE AREA. NO HARD COPY
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Serial No. 10/666,163 Filing Date: Sept. 19, 2003 APPARATUS AND METHOD FOR
REMOVING A REMOVABLE TOOTH POSITIONING APPLIANCE FROM THE TEETH OF A PATIENT

Attached is Transmittal Form (on one page)

Transmittal Letter (on two pages)

Form PTO/SB/08a citing 13 U. S. references (references not included) (one page)

Fax Cover Sheet (on one page) - Total pages on this fax:5

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/666,163	
	Filing Date	Sept. 19, 2003	
	First Named Inventor	Nicozisis	
	Art Unit	unknown	
	Examiner Name	unknown	
Total Number of Pages in This Submission	4	Attorney Docket Number	NICO-0001-100 144572

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Brian L. Belles
Signature	<i>Brian L. Belles</i>
Date	12/18/03

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Typed or printed name	Brian L. Belles		
Signature	<i>Brian L. Belles</i>	Date	12/18/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Information Disclosure

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- ☒ **before** the mailing date of a first Office Action on the merits.
- ☒ Copies of references (A-L) listed on the attached PTO Form SB/08A , formerly known as PTO Form 1449 are not enclosed herewith
- ☒ Applicant(s) hereby petitions the Assistant Commissioner to consider the references listed in this Information Disclosure Statement, on the enclosed PTO Form SB/08A and PTO Form SB/08B, formerly known as PTO Form 1449, and the examination of the above-identified patent application.

Fees

- ☒ No fee or Statement is required under 37 C.F.R. § 1.97(b).

Respectfully submitted,



Brian L. Belles
Registration No. 51,322

Dated:

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Information Disclosure

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Jonathan L. Nicozisis.

Serial No.: 10/666,163

Group Art Unit: not known

Filing Date: Sept. 19, 2003

Examiner: Not Yet Assigned

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**For: APPARATUS AND METHOD FOR REMOVING A REMOVABLE TOOTH
POSITIONAL APPLIANCE FROM THE TEETH OF A PATIENT**

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Brian L. Belles
Brian L. Belles, 51, 322

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56 and in accordance with 37 C.F.R. §§ 1.97 and 1.98, information relating to the above-identified application is hereby disclosed, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO Form SB/08A and PTO Form SB/08B, formerly known as PTO Form 1449 submitted herewith.

Inclusion of the information submitted herewith is not to be construed as an admission that the information is material as that term is defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the patent application.
- ☐ within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application

PTO/SB/08a (05-03)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/666,163
Filing Date	Sept. 19, 2003
First Named Inventor	Jonathan L. Nocoziels
An Unit	unknown
Examiner Name	unknown
Attorney Docket Number	141572

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A	US-6,458,298	Nov. 26, 2002	Chishi	
	B	US- 6,390,812	May 21, 2002	Chishi	
	C	US- 6,280,184	Aug. 28, 2001	Hamilton	
	D	US- 6,183,248	Feb. 6, 2001	Chishi	
	E	US- 5,839,896	Nov. 24, 1998	Hickok	
	F	US- 5,197,878	Mar. 30, 1993	Lukaso	
	G	US- 4,627,817	Dec. 9, 1986	Higa	
	H	US- 4,609,353	Sept. 2, 1986	Kline	
	I	US- 5,487,860	Jan. 30, 1996	Good	
	J	US- 2002/0051951	May 2, 2002	Chishi	
	K	US- 2002/0142258	Oct. 3, 2002	Chishi	
	L	US- 2003/0186184	Oct. 2, 2003	Chishi	
	M	US- 6,413,086	Jul 2, 2002	Womack	
		US-			
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FOREIGN PATENT DOCUMENTS

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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